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PTO/SB/08A (08-00)

<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/822,868	
			Filing Date	April 2, 2001	
			First Named Inventor	Richard P. TRACY	
			Group Art Unit	2857	
			Examiner Name	Felix E. Saurez	
Sheet	1	of	1	Attorney Docket Number	TELO-001/02US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
F.S.		6,546,493	B1	Magdych et al.	04-08-2003
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	7				

OTHER - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Search Report from related International Application No. PCT/US2003/037608, dated June 17, 2004.	

Examiner Signature	FELIX SUAREZ	Date Considered	09/27/2004
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# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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(PTO-1449)

ATTY. DOCKET NO.  
102632.121US1

**SERIAL NO.**  
**09/822,868**

**APPLICANT**  
**Richard P. Tracy et al.**

**FILING DATE**  
**April 2, 2001**

**GROUP  
2857**

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	2						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

F.S. ✓	December 26, 1985. "Department of Defense Trusted Computer System Evaluation Criteria." DoD 5200.28-STD.
F.S.	July 31, 2000. "Department of Defense Information Technology Security Certification and Accreditation Process (DITSCAP): Application Manual." DoD 8510.1-M.
F.S. ✓	January 23, 2003. International Search Report from PCT/US02/28179 (note that all references were cited previously in an IDS submission filed on April 4, 2003).
F.S. ✓	April 11, 2003. International Preliminary Examination Report from PCT/US00/09842 (note that all references were cited previously by the Examiner in a Notice of References Cited attached to the Office Action mailed June 5, 2002 and cited by the Applicant in an IDS submission filed on November 12, 2002).
EXAMINER	DATE CONSIDERED
FELIX SUAREZ.	09/27/2004

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<sup>2</sup> See attached Kinds of U.S. Patent Documents.  
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).  
<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.  
<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.  
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Application Number	09/822,868		
Filing Date	April 2, 2001		
First Named Inventor	Richard P. Tracy		
Group Art Unit	2857		
Examiner Name	Felix E. Suarez		
Attorney Docket Number	TELO-001/02US		
Sheet	2	of	2

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
F.S.	C1	DENNIS SZERSZEN, "Secure Strategies - A Year-Long Series on the Fundamentals of Information Systems Security - Extending your business to the Web requires a firm understanding of directories, what they offer and the challenges you'll face in deploying them," April 2000, Part 1, from <a href="http://infosecuritymag.techtarget.com/articles/april00/features4.shtml">http://infosecuritymag.techtarget.com/articles/april00/features4.shtml</a> .	
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F.S.	C3	The Mitre Corporation, "The Key to Information Sharing - Common Vulnerabilities & Exposures," August 17, 2000, from <a href="http://www.cvc.mitre.org/about/introduction.html">http://www.cvc.mitre.org/about/introduction.html</a> .	
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F.S.	C5	DAN SWANSON, "Secure Strategies - A Year-Long Series on the Fundamentals of Information Systems Security - Avoiding IS Icebergs," Part 4, "Audits, Assessments & Tests (Oh, My)," from <a href="http://www.infosecuritymag.com/oct2000/icebergs.htm">http://www.infosecuritymag.com/oct2000/icebergs.htm</a> , pp. 1-4.	
F.S.	C6	GEORGE KURTZ and CHRIS PROSISE, "Secure Strategies - Penetration Testing Exposed," Part 3, "Audits, Assessments & Tests (Oh, My)," from <a href="http://www.infosecuritymag.com/sep2000/securestrategies.htm">http://www.infosecuritymag.com/sep2000/securestrategies.htm</a> , pp. 1-5.	

Examiner Signature	FELIX SUAREZ	Date Considered	09/27/2004
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